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**A simple method for assessing powder spreadability for additive manufacturing**

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**Abstract:** Additive manufacturing based on powder spreading is attracting great interest, but one key weakness is that narrow gaps used for spreading produce non-uniformity in the spread layer due to transient jamming. We propose a simple technique for assessing the powder spreadability, a measure of the ease with which a powder is spread uniformly without the formation of empty patches. A cutter blade, with a segment cut along its length to produce a gap, is used to manually spread a small heap of powder. The frequency of formation of empty patches and their size, which are a manifestation of jamming as the particles are spread, are analysed for several gap heights. The sizes of the empty patches and frequency of their formation are determined by image analysis. The outcomes correlate well with a Discrete Element Method simulation of the same system. A criterion is proposed for diagnosing the empty patch formation.

**Keywords:** Powder spreading; Spreadability; Additive manufacturing; Empty patches; Jamming; Feedstock.

## 1. Introduction

Additive manufacturing (AM) is going through exponential growth in various industries such as medical, aerospace, construction and biology [1-5]. The inherent ability of AM to produce complex and personalised three-dimensional objects from Computer Aided Designs (CAD) using layer upon layer approach has attracted great attention [1], as it side-lines the necessity of expensive and time-consuming tooling of conventional manufacturing methods for producing the same objects [2, 3, 5]. Broadly, AM could be classified into two manufacturing techniques, powder-based process and liquid-based feed process [4, 5]. Here we address the former, where the powder is spread over a particle bed as a thin layer by a roller or blade to the thickness of a few particle diameters [6, 7]. Laser or electron beams are shone on to the layer in a scanning mode to melt/sinter a selected area. Afterwards, the particle bed is then lowered and the process is repeated until production is finished [6, 7]. The powder particles size and shape are critical factors, as the particles should be small enough for rapid sintering and melting when an energy beam is applied, but at the same time sufficiently free-flowing to avoid inconsistent flow and sensitivity to operation speed [8-9]. Spreading powders by a blade or roller with very small gaps may cause problems, such as mechanical arching and transient jamming [10], posing a major manufacturing bottleneck, as it impacts on the quality and characteristics of the final product and also on the production speed. Patchy coverage produces defects in the product by adversely affecting particle bonding in the sintering/melting process, and ultimately resulting in lower quality product [11, 12]. Addressing the issues through heuristic methods is expensive and flaws can only be detected after the specimen is made [11, 12]. Therefore an in-depth understanding of cohesive and frictional powder flows in narrow spreading gaps is helpful for further development of this technology and introduction of new materials. Currently, there are three techniques for investigating powder spreadability for AM, experimental, numerical simulation by the Discrete Element Method (DEM) and Machine Learning (ML) [13]. By spreadability we mean the complex characteristic features of a powder (as obviously influenced by particle properties), which allow the powder to be spread

uniformly as a thin layer of a few multiples of particle size without the formation of any empty patches, presence of agglomerates and rough surfaces.

The majority of the studies conducted so far address powder flowability instead of spreadability [14-26] using various characterisation techniques and devices for bulk cohesive powder flow [27]. Even in this situation the number of devices available for rheological characterisation of powders in a wide range of shear strain rates is limited to Anton-Paar Powder Cell, Couette device, FT4 Powder Rheometer, ball indentation method and rotating drum. In any case, the above mentioned methods and instruments are good for assessing the flowability of cohesive powders under dynamic conditions, but spreadability and flowability are two different measures of powder bulk flow characteristics, albeit inter-related. The former, which is the focus of the work here, is affected by powder flow behaviour in narrow clearances, where the shearing zone is only a few multiple of particle size, thus the discrete nature and properties of particles, such as size distribution, shape and surface properties are highly influential in transient jamming over the clearance. In a recent study, Snow et al. [28] point out the need for developing spreadability metrics. They attempted to establish a powder spreadability metrics by comparing powder spreading pattern (the fraction of powder coverage of the build plate) with results from analysis of the rate of powder deposition, the average avalanching angle of the powder heap, and the rate of change of the avalanching angle. They report the average avalanching angle does not provide a good correlation with the angle of repose, whilst the other metrics do. However, the angle of repose is not a clear indicator of either flowability or spreadability. Therefore, a criterion needs yet to be developed for describing the powder spreadability. Although various DEM simulation works have been done on the powder spreading [10, 29-34], there is no experimental technique so far to assess powder spreadability.

Hence, in this work we use a model metal powder commonly used for additive manufacturing and propose a simple and quick experimental technique for characterising its spreadability as a function of the spreading gap height. The analysis is performed on the same metal powder as previously

characterised for particle shape, interfacial adhesion and friction and used in the simulations by DEM [10]. A laser cut Stanton cutter blade of various gap heights positioned vertically is used to manually spread a pile of powder particles on frictional Emery paper to produce a spread layer. The generated particle spread layer contains empty patches (manifestation of jamming). The characteristics of empty patches in terms of size and frequency of appearance is analysed, providing a quick and simple method for characterising the spreadability of metal powders. The results are compared with numerical simulations by DEM.

## 2. Materials and Method

### 2.1 Materials

The test powder is gas-atomised 316 L stainless steel particles, obtained from Sandvik Osprey Ltd., Neath, UK. Its particle size distribution is in the range 15-55  $\mu\text{m}$ , with the characteristic measures of the distribution  $D_{10}$ ,  $D_{50}$  and  $D_{90}$  by number given as 20  $\mu\text{m}$ , 32  $\mu\text{m}$  and 45  $\mu\text{m}$ , respectively. Nan et al. [10] have shown that the most appropriate characteristic measure of particle size that describes transient jamming and powder flow through narrow gaps is  $D_{90}$  by number (will be denoted as  $D$  in this work). As we use the same powder in our work, the relevant particle properties are those reported by Nan et al. [10]. The particle size distribution is classified into four sizes classes as reproduced here as Figure 1.

*Figure 1: Particle project-area equivalent-circle diameter distribution by number of Sandvik powder classified in four size classes, with  $D_{10}$ ,  $D_{50}$  and  $D_{90}$  of the number distribution given as 20  $\mu\text{m}$ , 32  $\mu\text{m}$  and 45  $\mu\text{m}$ , respectively [10].*

A Stanton cutter blade is used for powder spreading. It is cut along its length by laser to create a gap in the middle as shown in Figure 2. Blades with five depths of cut are prepared, i.e. 45  $\mu\text{m}$ , 67.5  $\mu\text{m}$ , 90  $\mu\text{m}$ , 112.5  $\mu\text{m}$  and 135  $\mu\text{m}$ , corresponding to multiples of  $D$  to enable powder spreading with different gap heights. SEM images of all the blades were analysed to measure the actual gap height for every blade. They were all close to the nominal values with a tolerance of  $\pm 0.2 \mu\text{m}$ .

*Figure 2: SEM images of the left and right sides of a Stanton cutter blade, cut along its length by laser to create a gap in the middle, in this case a gap height of 90  $\mu\text{m}$ .*

To provide a fully-rough base, several abrasive Emery papers with different levels of fineness were assessed. Among them, the CarbiMet PSA, 1000 [P2500] gives the most uniform spreading, and it is used in this work. It is made of silicon carbide and has a grit designation of 1000 [P2500], with an average particle diameter of 10.3  $\mu\text{m}$  [35]. A SEM image of the rough base is presented in Figure 3.

*Figure 3: SEM image of the rough base (i.e. Emery paper) with partially covered metal particles.*

## **2.2 Methodology**

To provide an appropriate amount of powder for spreading, a makeshift cardboard stencil (25 mm $\times$ 2.5 mm) was made to deliver a consistent amount of powder for every spreading experiment, shown as a CAD model in Figure 4(a). The Emery paper is cut to the size of a standard microscope glass slide (75 mm $\times$ 25 mm), and is glued to the glass slide (i.e. the right slide in Figure 4(b)) with a thin glue and compressed by dead-weight to ensure flatness. The glass slide is placed next to another glass slide (i.e. the left slide in Figure 4(b)), to provide a flat surface to place the cardboard stencil on top of them so that it does not tilt. The powder is poured over the slot of the stencil until it is full, and the excess powder is scrapped off using a blade, as shown in Figure 4(c)-(d). The cardboard stencil is then removed carefully, producing a heap of powder, as shown in Figure 4(e). The support glass slide (i.e. the left one in Figure 4(b)) is removed and a spread blade with a known gap height is swiped manually over the heap to spread the powder in the direction indicated by the arrow in Figure 4(f). Powder spreading is video-recorded at 240 frames per second (FPS). Following the spreading process, the particles are immobilised by using an adhesive spray to enable the handling of the spread layer for SEM analysis. Finally, the aforementioned steps are repeated three times for each gap height, and their respective recording of each spreading process is transferred to PC for analysis.

*Figure 4: Summary of the experimental procedure : (a) makeshift cardboard stencil (25 mm $\times$ 2.5 mm), (b) a glass slide (the right slide) covered by Emery paper with another glass slide (the left one) placed next to*

it; (c) powder is poured over the slot of the stencil; (d) excess powder is scrapped off; (e) cardboard stencil is removed to produce a heap of powder; (f) a spread blade is swiped manually over the heap after removing the support glass slide (the left one).

### 2.2.1 Spread uniformity

The quality of the spread layer in terms of the presence of empty patches is determined by image analysis. For this purpose, a Hitachi TM3030Plus SEM is used at 150× magnification, backscattered mode and 15 kV. A series of images are taken in the spreading direction, as shown in Figure 5. The images are collated to form a composite image of the entire length of spread layer, as shown by the rectangle in Figure 5(c). This provides an image with good depth of field and appropriate resolution.

*Figure 5: (a) A photo of the powder layer, (b) SEM images of segments of the layer, and (c) the collection of segmented images showing the spread bound by the rectangle seen in (a).*

The SEM images are first analysed by ImageJ software for pre-processing [36], and then passed to MATLAB R2018a for further analysis of empty patches. The images are set at 1.2  $\mu\text{m}/\text{pixel}$  scale, and converted to a 16-bit image. Denoising plugin feature is used to add clarity to the SEM images post-filtering [37]. Two threshold images are applied via default auto-threshold methods, one for the voids and one for the particles to ease analysis in the following steps, as shown in Figure 6. The size analysis is initiated and the two images are passed to MATLAB R2018a for analysis. The image of particles is first dilated by five pixels (established by trial-and-error). This is done to filter out the noise in the image analysis process brought by the small empty patches/areas, and they are also of no interest, as they do not constitute empty patches, due to their extreme small sizes ( $<6 \mu\text{m}$ ), and to define specific perimeters of empty patches, as the interest is in their size and number density. An eroded image of the voids is created by subtracting the newly-created dilated particles image from the original binary voids image which is ‘thresholded’. The voids in the newly-eroded image are dilated to regain their original size, while also having definite perimeters of empty patches of interest. Small objects (such as the small voids between the particles) in the newly-formed image are removed, since the interest is

in the voids of certain size and number density. The desired parameters (length, area and location of the empty patches) are determined as described in the following section.

*Figure 6: Thresholding of SEM images for analysis*, where two thresholding actions are applied via default auto-threshold methods, one for the voids and one for the particles.

### 2.2.2 Determination of the spreader speed

The recordings of the spread, taken at 240 frames per second (fps), were transferred to a computer for analysis. A separate MATLAB code was used to extract each individual frame from all the recordings. The frames that captured the spreading process were counted, and the time taken for the spread was ascertained from the FPS and the average spreading speed was hence calculated from the spreading distance (25 mm) and time, as described later below.

## 3. Results and discussion

The experiments were repeated three times to check for reproducibility for each of the individual gap height. The gap heights were actually 1, 1.5, 2, 2.5 and 3 multiples of  $D_{90}$ , respectively, as the work of Nan et al. [10] had previously shown that  $D_{90}$  of the particle size distribution by number was accountable for transient jamming, and hence the quality of spreading. The results of the three repeats are denoted as R1, R2 and R3, respectively. However for brevity only the results of R1 is reported here, but all the three repeats are used in the analysis. The SEM images of R1 for the five gap heights are shown in Figure 7 (these images are actually magnifiable to high resolution  $1.2 \mu\text{m}/\text{pixel}$ ). It can easily be observed by naked eye that the frequency of empty patches decreases sharply with increasing gap height. The SEM images were processed by ImageJ to create two threshold images of each gap height. The compilation of the threshold images of both voids and particles is shown in Figure 8 for the smallest gap height, i.e.  $D_{90}$ , showing the empty patches. The pattern of empty patches is similar to the simulation results of the spreading of the same powder layer reported by Nan et al. [10] based



on visual observations. This is shown in Figure 9 for the simulation work along with the experimental results obtained here.

*Figure 7: Compilation of the SEM images of R1, where A, B, C, D and E refer to the gap heights 3, 2.5, 2, 1.5 and 1 multiples of  $D_{90}$ , respectively. These images are actually magnifiable to high resolution  $1.2 \mu\text{m}/\text{pixel}$ .*

*Figure 8: Threshold images of the smallest gap height, i.e.  $D_{90}$  of R1, where I and II refer to the threshold images of the particles and voids, respectively.*

*Figure 9: DEM simulation and experimental spread of gas-atomised metal powder via a blade [27], where a similar pattern of empty patches is observed in both works.*

The threshold images are analysed quantitatively by MATLAB to calculate the length, area and location of each empty patch, i.e. its respective  $x$  and  $y$  coordinates. Following this step the time of spreading is determined for the evaluation of the frequency of formation of empty patches. The spreading time and average speed are calculated by MATLAB for R1 and are given in Table 1.

*Table 1: Summary of the spreading time and average speed of R1.*

As the time for each spread and the number and length of empty patches are determined, their frequency of occurrence could be calculated in the same way as done by Nan et al. [10], i.e. by dividing the number of empty patches by the spreading time for each gap height. However, to fit the scale necessary to compare the results with those of Nan et al. [10] (see the patch length/ $D$  cells in Table 2), each individual length is divided by  $D$  (i.e.  $45 \mu\text{m}$ ), so as to present it as a multiple of  $D$  (normalised length).

*Table 2: Number of empty patches for each patch length range for R1.*

The frequency of formation of empty patches of different lengths can now be calculated using both time for each individual length scale and the number of empty patches. For brevity, only the frequency and the empty patch length results of R1 are shown in Figure 10 for all the gap heights tested.

1 There is a significant decrease in the frequency of empty patches with increasing empty patch length.  
2 This is correlated with the jamming events of particle flow in the gap region. The jamming events with  
3 shorter survival times produce smaller empty patches, and have a much larger occurrence frequency  
4 [10]. Additionally, with increasing gap height, it is apparent that the frequency decreases rapidly and  
5 for increased empty patch lengths, the frequency is mainly composed of small gap heights (*i.e.* the  
6 spread layer is more uniform with large gap heights). The simulation predictions of Nan et al [10] for  
7 exactly the same system is reproduced as Figure 11 for comparison, where a good correlation is  
8 observed.

9 *Figure 10: Frequency of formation of empty patches of various length for R1, where the frequency of*  
10 *empty patches decreases significantly with increasing empty patch length.*

11 *Figure 11: Simulation predictions of frequency of jamming as a function of empty patch length [10].*

12 Furthermore, both Figures 10 and 11 exhibit a puzzling occurrence of a sudden increase in frequency  
13 of empty patches (gap height  $2.5D$  for  $(2-3)/D$  in Figure 10 and  $1.75D$  for  $(2-3)/D$  in Figure 11). As  
14 three repeats have been carried out for each gap height, and the results combined, the consistent trend  
15 here requires further systematic analysis.

16 To compare the probability of the formation of empty patches of all sizes with those of the simulation  
17 results, the sum of the number of all the empty patches (regardless of their size range) is calculated for  
18 the same gap height. For example, from Table 2 for gap height 1, the total number of relevant empty  
19 patches is the sum of 303, 45, 13, 5, 19, *i.e.* 385. Therefore, the probability of the formation of the  
20 empty patches for the size class 2-3 is  $303/385$ , *i.e.* 0.78. As the probability of formation of the empty  
21 patches is established, a plot of the probability versus the length of empty patches is plotted as shown  
22 in Figure 12 for R1 and then compared to the simulation results in Figure 13 reported by Nan et al.  
23 [10].

24 *Figure 12: A plot of probability against the length of empty patches for R1, where the probability of*  
25 *formation of longer patches decreases with increasing patch lengths.*

*Figure 13: The distribution of probability of the number of empty patches with each patch length [10].*

As it could be seen from Figures 12 and 13, with increasing patch lengths, the probability of formation of longer patches decreases. Additionally, for the smallest patch lengths (2-3), it could be concluded that it is almost certain that all the empty patches generated by large gap heights (3 and 2) fall within this size criterion, while it becomes less frequent with greater patch lengths and roughly non-present in the greatest size range ( $\geq 10$ ). This indicates that large gap heights (above  $(2-3)/D$ ) give a more uniform spread layer without the formation of empty patches, due to less jamming, as intuitively expected. So spreadability can be defined based on a criterion for empty patch formation, considering the largest patch size which is acceptable for spreading and its formation frequency. This is obviously correlated with frequency and period of transient jamming. The location of the empty patches is predicted based on its size following the approach of Nan et al. [10]. The particle layer is divided into bins of size  $\Delta y = 1.25D$  and  $\Delta x = 2D$  in the  $y$  and  $x$  directions, respectively. The location of each empty patch is estimated based on its size. So if the particle fraction in the bin falls below a critical value, the bin is designated as empty. The following criterion (equation 1) was proposed by Nan et al. [10] based on trial and error.

$$\frac{\sum V_p}{\Delta x \times \Delta y \times (\delta - \delta_c)} < 0.1 \quad (1)$$

where  $V_p$  is the particle volume, and  $\delta_c$  is the critical gap ( $1.0D$ ). In practise it is difficult to account for volume measures from a 2-D image, hence the area of each particle ( $A_p$ ) is considered instead. Therefore the volume criterion is modified to fit the particle area by considering that the analysed image is 2-D, the particle fraction becomes the number of particles in a given area (area of the bins) and thus the term  $(\delta - \delta_c)$  is neglected (see Figure 14). Instead a 2-D criterion (equation 2) is adopted by trial and error. It is found that the following criterion gives equivalent locations of empty patches.

$$\frac{\sum A_p}{\Delta x \times \Delta y} \leq 0.3 \quad (2)$$

*Figure 14: Different evaluation method for packing fraction: (a) based on volume fraction of Nan et al.'s work [10], (b) based on area in this work.*

The area of the particles is determined through MATLAB using the threshold image of the particles for each gap height as given previously in Figure 8. Similar to Nan et al.'s work [10], an overlap of adjacent bins by 50% is considered to ensure that any of generated empty patches are of size  $2D$  and greater. The locations of the empty patches for R1 are shown in Figure 15, along with the simulation results in Figure 16 reported by Nan et al. [10]

*Figure 15: Illustration of the location of the empty patches of R1 as identified by equation (2) based on experimental results, where the legends/markers indicate the location of empty patches present in their respective  $x/D$  values, and the connected markers indicate that the legend/markers are related to the same empty patch.*

*Figure 16: Illustration of the location of empty patches as identified by simulation [10].*

Similar to the trend observed by Nan et al. [10], Figure 15, has legends/markers that indicate the location of empty patches present in their respective  $x/D$  values. The connected markers indicate that the legend/markers are related to the same empty patch. Additionally, with increased gap height, the number of empty patches decreases abruptly. Figure 15 shows that for the gap height 3.0 there are no empty patches present, while for decreasing gap heights (1-2.5) the legends/markers that are indicative of empty patches are decreasing significantly. The experimental empty patches together with their indicator according to the criterion of equation 2 are shown in Figure 15, providing also a good correlation with the work of Nan et al. [10] shown as Figure 16.

#### **4. Conclusions**

Spreadability of gas-atomised metal powders is assessed by a simple manual technique, utilising blades of various gap heights and an abrasive paper as a fully-rough frictional base. A heap of powder is

placed on the base using a stencil and is swiped by a blade manually. The produced spread layer is then immobilised using an adhesive spray, and analysed using SEM and MATLAB to check the uniformity of the spread layer. The test is repeated for several blades of different gap heights. The spreadability of powder is quantified by the features of empty patches of the spread layer. Large empty patches are formed in small gap heights and are a manifestation of transient jamming of the powder in the heap around the gap. The frequency of formation of empty patches and their size are quantified by image analysis of scanning electron micrographs. They both decrease significantly with increasing gap height and vice versa. A criterion is proposed to identify an empty patch and predict its location along the spreading direction. In addition it is shown that the results are consistent with predictions by numerical simulations of the same powder system.

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Table 1: Summary of the spreading time and average speed of R1.

Gap height/D	Number of frames	Time (s)	Average Speed (m/s)
1.0	595	2.48	0.010
1.5	484	2.02	0.012
2.0	566	2.36	0.010
2.5	484	2.02	0.009
3.0	561	2.34	0.010

Table 2: Number of empty patches for each patch length range for R1.

Gap height/D	1.0	1.5	2.0	2.5	3.0
Patch length/D	Number of empty patches				
2-3	303	167	95	120	58
4-5	45	23	7	16	1
6-7	13	7	3	3	0
8-9	5	4	1	0	0
$\geq 10$	19	12	0	0	0
Time (s)	2.48	2.02	2.36	2.02	2.34

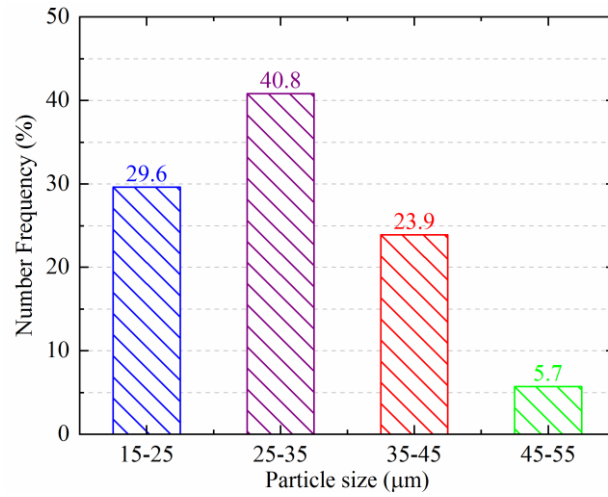


Figure 1: Particle project-area equivalent-circle diameter distribution by number of Sandvik powder classified in four size classes, with  $D_{10}$ ,  $D_{50}$  and  $D_{90}$  of the number distribution given as 20 μm, 32 μm and 45 μm, respectively [10].

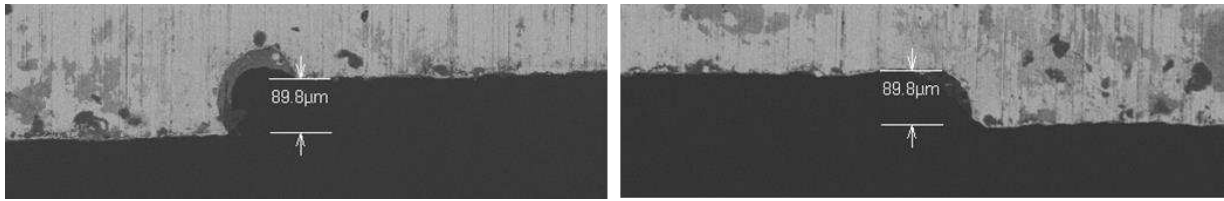


Figure 2: SEM images of the left and right sides of a Stanton cutter blade, cut along its length by laser to create a gap in the middle, in this case a gap height of 90 μm.

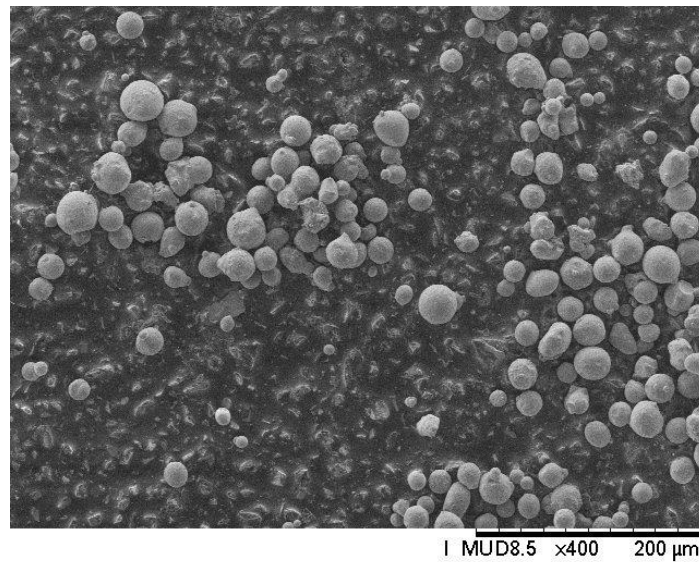
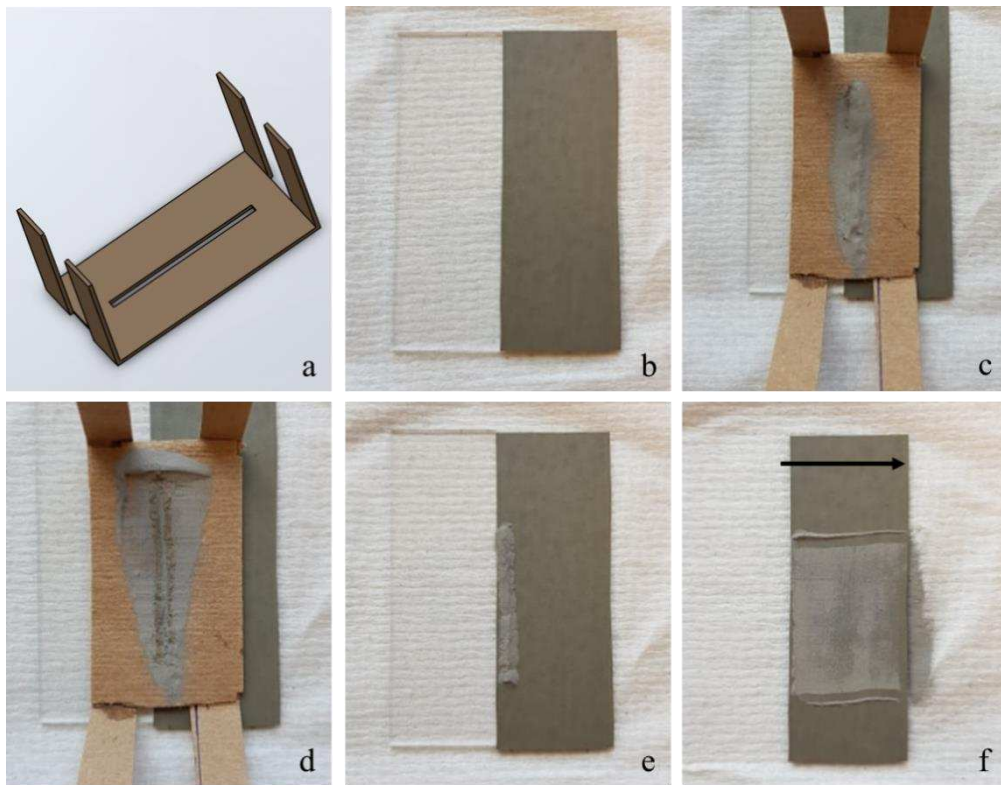


Figure 3: SEM image of the rough base (i.e. Emery paper) with partially covered metal particles.

1



2

3 Figure 4: Summary of the experimental procedure: (a) makeshift cardboard stencil (25 mm×2.5 mm),

4 (b) a glass slide (the right slide) covered by Emery paper with another glass slide (the left one)

5 placed next to it; (c) powder is poured over the slot of the stencil; (d) excess powder is scrapped off;

6 (e) cardboard stencil is removed to produce a heap of powder; (f) a spread blade is swiped manually

7 over the heap after removing the support glass slide (the left one).

8

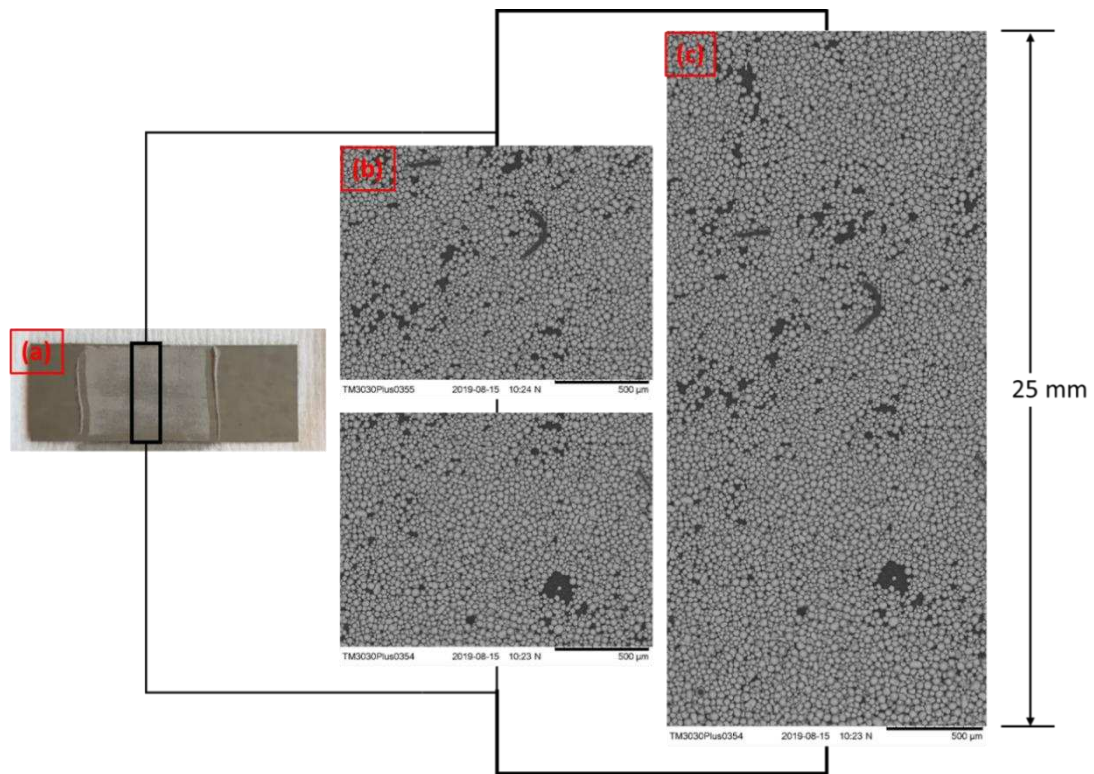


Figure 5: (a) A photo of the powder layer, (b) SEM images of segments of the layer, and (c) the collection of segmented images showing the spread bound by the rectangle seen in (a).

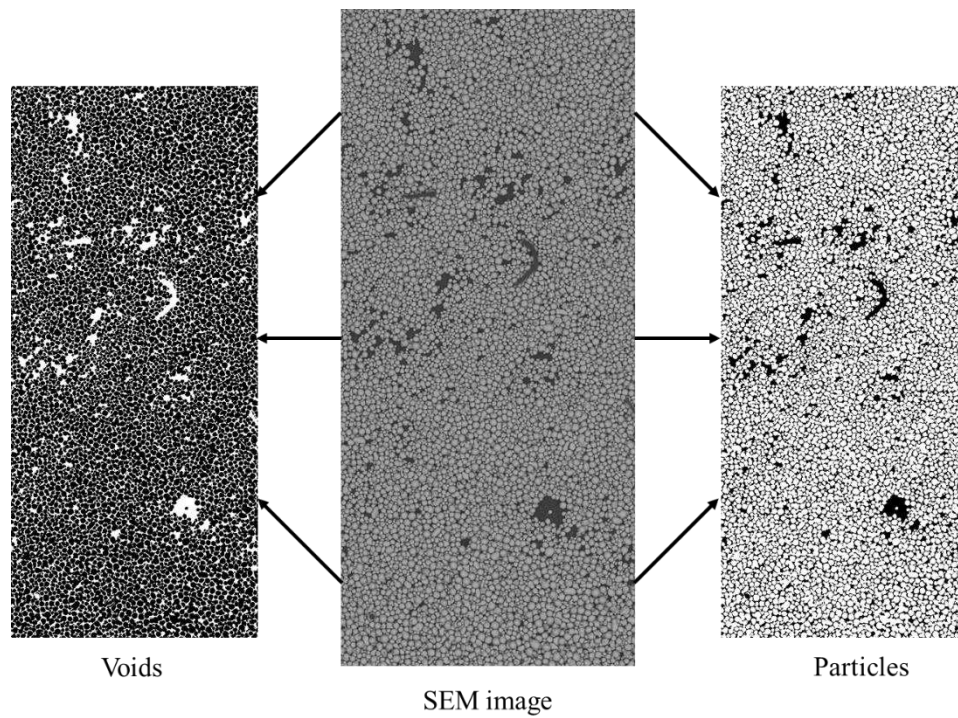


Figure 6: Thresholding of SEM images for analysis, where two thresholding actions are applied via default auto-threshold methods, one for the voids and one for the particles.



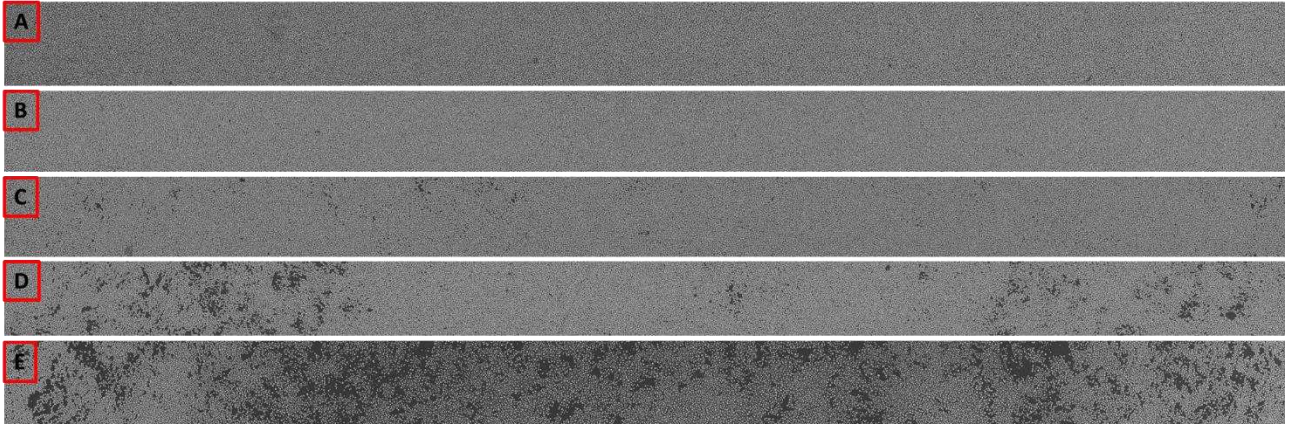


Figure 7: Compilation of the SEM images of R1, where A, B, C, D and E refer to the gap heights 3, 2.5, 2, 1.5 and 1 multiples of  $D_{90}$ , respectively. These images are actually magnifiable to high resolution  $1.2 \mu\text{m}/\text{pixel}$ .

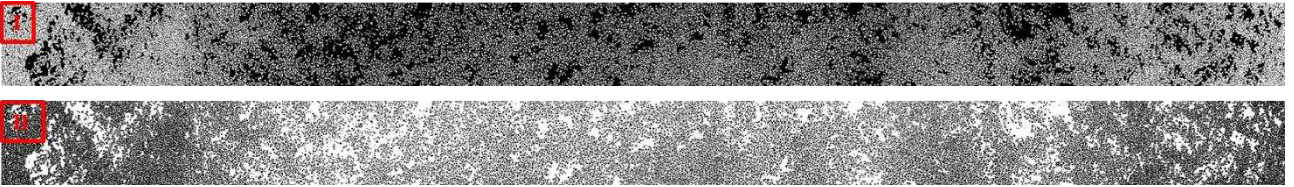


Figure 8: Threshold images of the smallest gap height, i.e.  $D_{90}$  of R1, where I and II refer to the threshold images of the particles and voids, respectively.

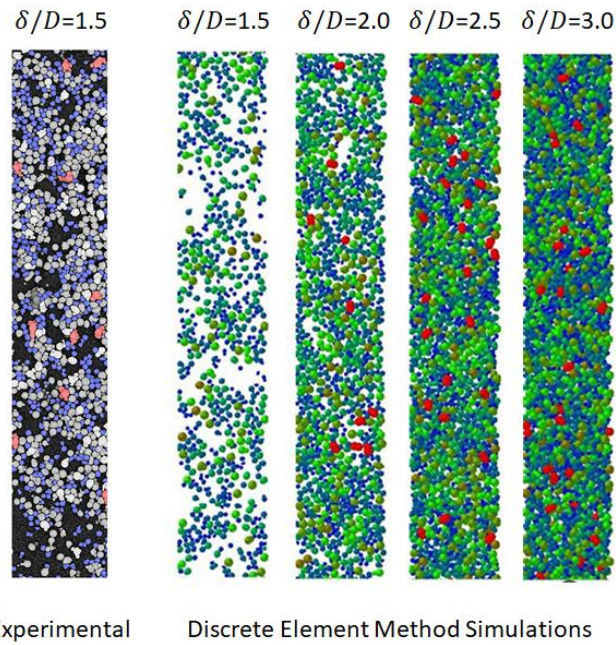


Figure 9: DEM simulation and experimental spread of gas-atomised metal powder via a blade [27], where a similar pattern of empty patches is observed in both works.

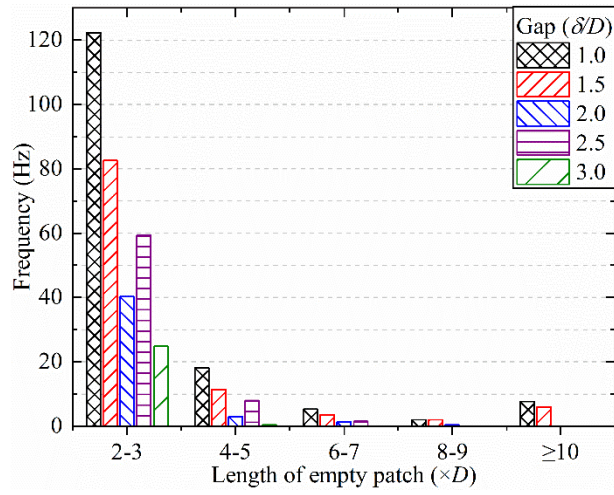


Figure 10: Frequency of formation of empty patches of various length for R1, where the frequency of empty patches decreases significantly with increasing empty patch length.

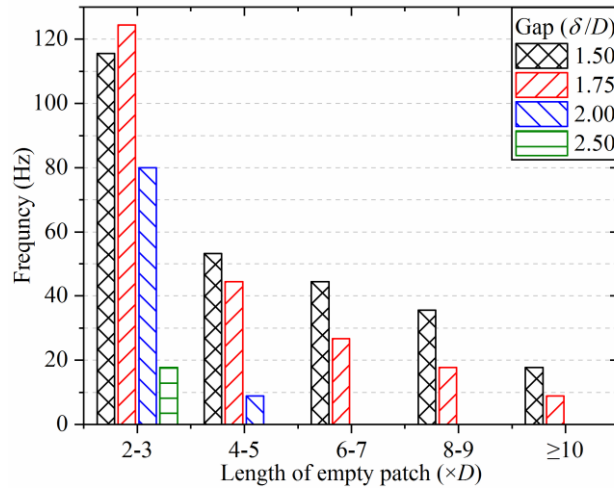


Figure 11: Simulation predictions of frequency of jamming as a function of empty patch length [10].



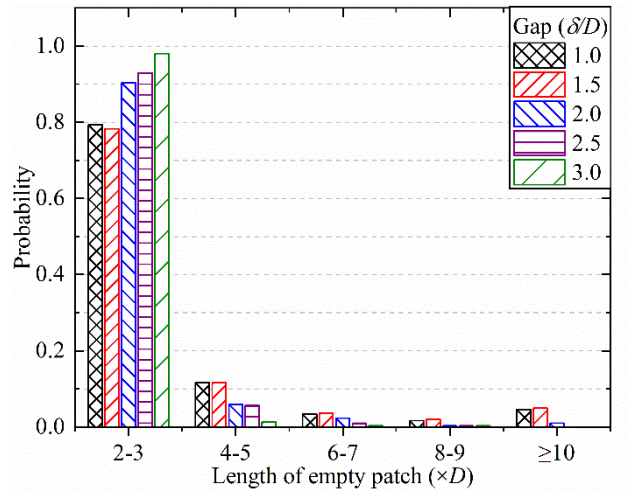


Figure 12: A plot of probability against the length of empty patches for R1, where the probability of formation of longer patches decreases with increasing patch lengths.

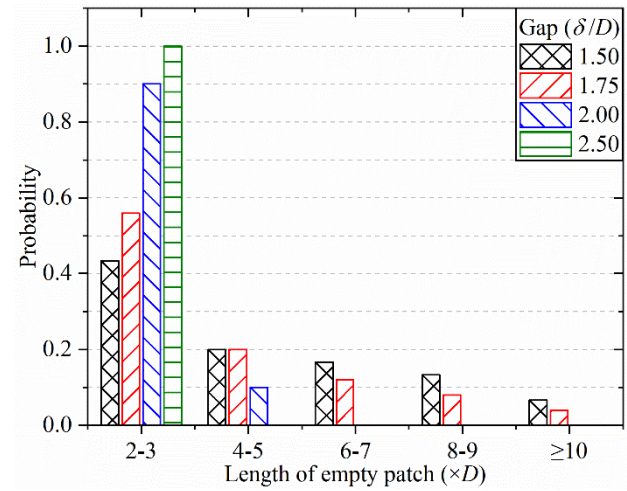


Figure 13: The distribution of probability of the number of empty patches with each patch length [10].

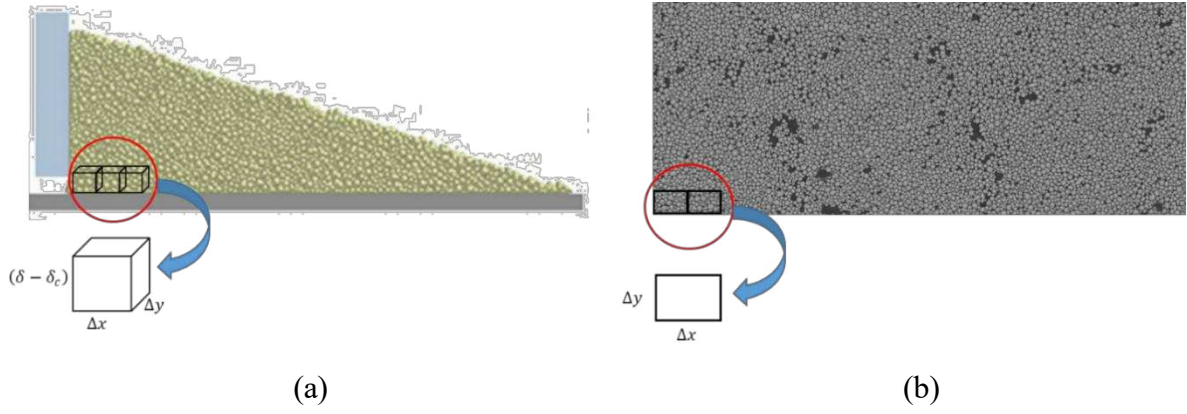


Figure 14: Different evaluation method for packing fraction: (a) based on volume fraction of Nan et al's work [10]; (b) based on area in this work.

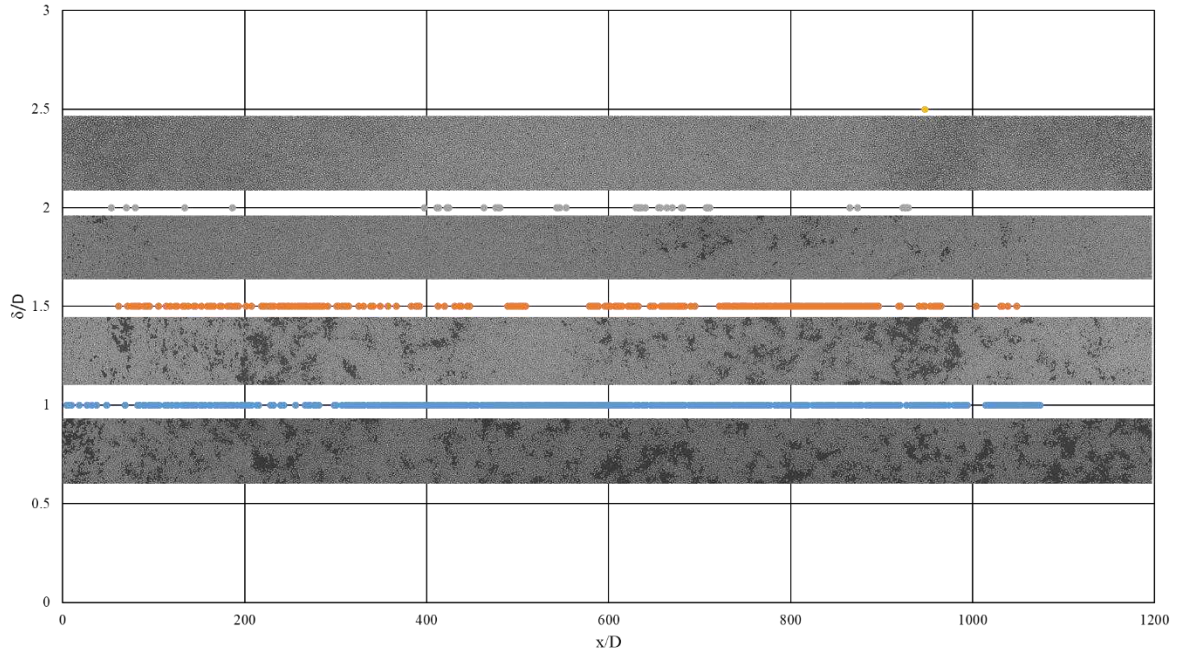


Figure 15: Illustration of the location of the empty patches of R1 as identified by equation (2) based on experimental results, where the legends/markers indicate the location of empty patches present in their respective  $x/D$  values, and the connected markers indicate that the legend/markers are related to the same empty patch..

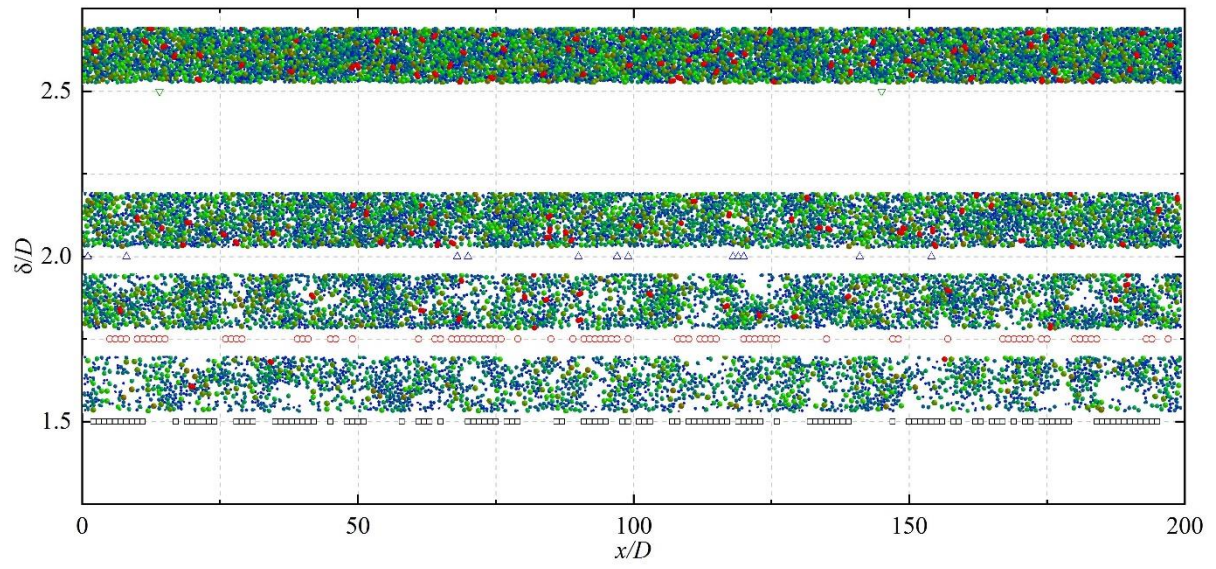


Figure 16: Illustration of the location of empty patches as identified by simulation [10].